

Compliance Reviews

Office of Provider Standards and Review

Purpose

The purpose of compliance reviews is to make sure Ohio has a qualified pool of providers.



What To Expect

- Routine compliance reviews occur every 3 years
- Providers receive 90 days advance notice for routine reviews
- Compliance review tools are maintained on DODD's website and available to providers at any time

Be Prepared

It's important to keep your
email address up to date!



I've Been Notified- Now What??

- Log in to the Imagine portal
- Assign a review contact within 14 days of notification
- Contact the reviewer if you have any questions or problems logging into the portal

Last Name	First Name	E-mail	Submits POC	Manage
howard	hannah	12356@aol.com	<input checked="" type="checkbox"/>	Edit
Morrison	Erica	erica.morrison@dodd.ohio.gov	<input checked="" type="checkbox"/>	Edit
Thompson	kris	kris.thompson@dodd.ohio.gov	<input checked="" type="checkbox"/>	Edit

Showing 1 to 3 of 3 entries

Previous Next

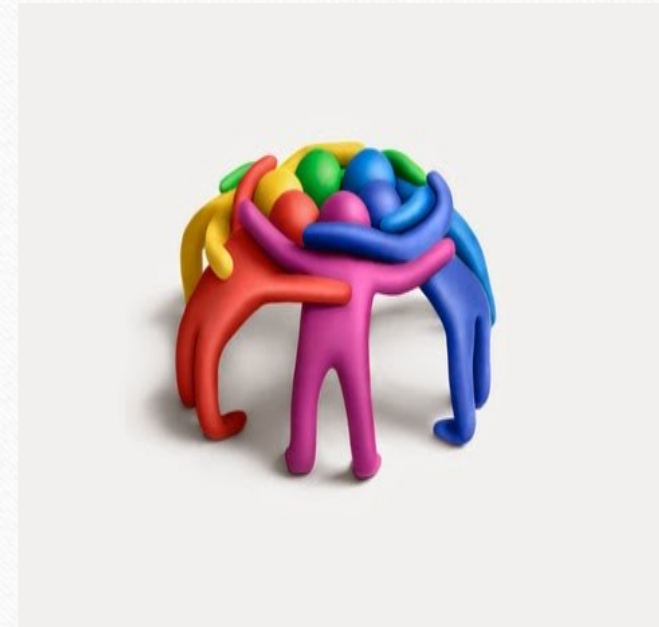
Compliance Reviews Note: Please click on the "blue" review name to see your report

Review Number	Review Name	County	Review Date	Review Status	Reviewer	Review Type	Review Contact Required	Required Docs
RVW711	Acree Daily - FRAN - 2015	FRAN		First Notification Send	Kristi Williams	Regular	--Assign Contact-- --Assign Contact-- Erica Morrison kris Thompson hannah howard	<input checked="" type="checkbox"/> Docs
RVW713	Acree Daily - FRAN - 2015	FRAN	12/17/2015	Ready To Complete	Kristi Williams	Special		<input checked="" type="checkbox"/> Docs
RVW796	Acree Daily - FRAN - 2016	FRAN	1/13/2016	Pending For POC	Kristi Williams	Special	--Assign Contact--	<input checked="" type="checkbox"/> Docs

Routine Compliance Reviews

Compliance reviews include:

- Documentation Review
- Talking with Individuals
- Talking with Providers
- Observation



What Will Reviewers Look For?

Personnel Requirements

- Background Checks
- Training
- Certifications
- High School diploma/GED

What Will Reviewers Look For?

Service Documentation

- Includes the required elements per service rule
- Includes activities/action steps to meet individual outcomes
- Medication administration
- Restrictive Measures

The Link to Person Centered Planning

Has Person Centered Planning changed documentation requirements?

- Basic documentation requirements have not changed. What may be different is what is documented by the provider.
- Documentation should include action steps/activities developed to work toward outcomes established by the team.

Action Steps-The Link to Achieving Outcomes

- Providers play a huge role in helping individuals achieve outcomes
- Providers use the identified outcomes to direct the supports, or action plans, needed to achieve the outcome
- Don't be afraid to change action plans if they aren't working-- this should be a fluid process with continuing evaluation and change

Documenting Outcome Related Services

Outcome:

Robert wants to remain active and healthy so he can enjoy his upcoming retirement years.

Action Plan documented by the provider may include:

- 30 minute walks 3/week
- Annual & routine medical screenings
- Planning meals 2/week that include at least two servings of vegetables and one serving of fruit
- Attending regular counseling appts



Individual Choice and Community Integration

- Activities are meaningful to the individual
- Control over daily activities and schedules
- Opportunities to explore and participate in community life

What Will Reviewers Look For?

Agencies Only

- **Internal Compliance Program**
 - Has the provider agency established an internal compliance program that ensures compliance with (1) provider certification, (2) background checks, (3) service delivery, service documentation and billing? 5123:2-2-01; 5123:2-3-01

What to Expect After the Review

- **Review Results- 7 days**
- **Plan of Correction- 14 days**
 - **Appeal**
- **Plan of Correction response- 20 days**
- **Plan of Correction Verification- 90 days**

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Individual Sample Key

Staff Sample Key

POCV

Submit POC(s) to reviewer

Submit POC(s)

Question	Question	Explanation	Plan of Correction	POC Status
	Did the provider/County Board maintain a log of unusual incidents which includes: • Name of Individual • Description of ... Read more	The provider failed to maintain an unusual incident log.	<input type="radio"/> Appeal <input type="radio"/> POC	
	Did the agency provider verify that the staff person has a high	Staff JF1 does not have either a high school diploma or GED	<input type="radio"/> Appeal <input type="radio"/> POC	

Talking: Phone Caller



Please Submit POC(s) to reviewer

[Submit POC\(s\)](#)

#Question	Question	Explanation	Plan of Correction	POC Status	Rev Com
018	Did the provider/County Board maintain a log of unusual incidents which includes: • Name of Individual • Description of ... Read more	The provider failed to maintain an unusual incident log.	<input checked="" type="radio"/> Appeal <input type="radio"/> POC I had an unusual incident log but the reviewer missed it. Save	Pending	
014	Did the agency provider verify that the staff person has a high school diploma or GED? 5123:2-2-01	Staff JF1 does not have either a high school diploma or GED.	<input type="radio"/> Appeal <input checked="" type="radio"/> POC <u>JF1</u> is in the process of obtaining a GED. He will be done in March 2016. In the meantime, we are requesting a waiver.		

[Citations](#)[Individual Sample Key](#)[Staff Sample Key](#)[POCV](#)Citation Report : 

Your POC has been approved and no further submissions will be accepted. Click on the pdf to view your report as it will appear on DODD's Provider Search website.

#Question	Question	Explanation	Plan of Correction	POC Status	Reviewers Comments/History	Attachments
17.002	If the provider is responsible for providing any type of transportation do all vehicles used to transport individuals ap ... Read more	Witchy Poo's broom was not equipped with a seat belt or doors.	<input checked="" type="radio"/> Appeal <input type="radio"/> POC <div>Witchy Poo's broom is street legal and you are not allowed to judge!</div>	Rescinded		
7.005	Does the waiver service delivery documentation for all waiver billing codes	Group size was not included on the HPC service delivery forms for	<input type="radio"/> Appeal <input checked="" type="radio"/> POC <div>I will add Group size to all documentation and</div>	Approved		

Contact OPSR

OPSR Main Number 614-466-6670